



Corti, R., Diambra, A., Muir Wood, D., Escribano Leiva, D., & Nash, D. (2016). Memory surface hardening model for granular soils under repeated loading conditions. *Journal of Engineering Mechanics*, 142(12), [04016102-1]. [https://doi.org/10.1061/\(ASCE\)EM.1943-7889.0001174](https://doi.org/10.1061/(ASCE)EM.1943-7889.0001174)

Peer reviewed version

Link to published version (if available):
[10.1061/\(ASCE\)EM.1943-7889.0001174](https://doi.org/10.1061/(ASCE)EM.1943-7889.0001174)

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1 **MEMORY SURFACE HARDENING MODEL FOR GRANULAR SOILS UNDER** 2 **REPEATED LOADING CONDITIONS**

3 Riccardo Corti. Ph.D. Student, Faculty of Engineering, Queen's Building, Univ. of Bristol,
4 Bristol BS8 1TR, UK (corresponding author). E-mail: riccardo.corti@bristol.ac.uk

5 Andrea Diambra. Lecturer, Faculty of Engineering, Queen's Building, Univ. of Bristol,
6 Bristol BS8 1TR, UK. E-mail: andrea.diambra@bristol.ac.uk

7 David Muir Wood. Emeritus Professor, Division of Civil Engineering Fulton Building, H13
8 University of Dundee Nethergate Dundee DD1 4HN, UK. E-mail:
9 d.muirwood@dundee.ac.uk

10 Daniella E. Escribano. Lecturer, Faculty of Engineering, Department of Civil Engineering,
11 Edmundo Larenas 215, Barrio Universitario, Concepción, Chile. E-mail: describano@udec.cl

12 David F.T. Nash. Senior Research Fellow, Faculty of Engineering, Queen's Building, Univ.
13 of Bristol, Bristol BS8 1TR, UK. E-mail: david.nash@bristol.ac.uk

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15 Paper submitted for possible publication to:

16 *Journal of Engineering Mechanics*

17 18 **ABSTRACT**

19 The prediction of the stress-strain response of granular soils under large numbers of repeated
20 loading cycles requires subtle changes to existing models, although the basic framework of
21 kinematic hardening/bounding surface elasto-plasticity can be retained. Extending an existing
22 model, an extra memory surface is introduced to track the stress history of the soil. The
23 memory surface can evolve in size and position according to three rules which can be linked
24 with physical principles of particle fabric and interaction. The memory surface changes in
25 size and position through the experienced plastic volumetric strains but it always encloses the

current stress state and the yield surface; these simple rules permit progressive stiffening of the soil in cyclic loading, the accurate prediction of plastic strain rate accumulation during cyclic loading, and the description of slightly stiffer stress-strain response upon subsequent monotonic reloading. The implementation of the additional modelling features requires the definition of only two new constitutive soil parameters. A parametric analysis is provided to show model predictions for drained and undrained cyclic loading conditions. The model is validated against available tests on Hostun Sand performed under drained triaxial cyclic loading conditions with various confining pressures, densities, average stress ratios and cyclic amplitudes.

Keywords: constitutive relations; fabric/structure of soils; friction; plasticity; cyclic loading; sands.

Introduction

The accurate prediction of the mechanical response of granular soils subjected to large numbers of non-monotonic repeated loads is fundamental for the assessment of the serviceability and safety of many geotechnical engineering systems including foundations for road pavements (McVay and Taesiri, 1985), offshore structures such as wind turbines (Leblanc et al., 2009, Klinkvort and Hededal, 2013, Diambra et al. 2014) or oil and gas platforms (Randolph and Gourvenec, 2011) and foundations for vibrating or reciprocating machines among others. Currently available theoretical models for soil behaviour can capture the basic features of the non-monotonic cyclic behaviour of soil, such as progressive pore pressure generation for undrained conditions or progressive accumulation of plastic strain for drained loading. However, achieving a satisfactory quantitative accuracy for large numbers of repeated loads and effectively accounting for stress level and density conditions is still a challenging task.

The complexities of the response of a soil under cyclic loading are largely linked to the evolution of internal particulate fabric together with effective stress and/or density state. For loose or medium dense granular soils, cyclic straining causes densification and progressive evolution of particles into a more efficient packing. A more stable configuration is reached inducing a progressive increase in soil stiffness and reduction of further plastic strain accumulation (Wichtmann et al., 2005). Discrete element method (DEM) simulations of cyclic triaxial tests (O'Sullivan and Cui, 2009) have confirmed a redistribution of the relative magnitude of contact forces rather than a particles redistribution.

The most widespread constitutive approach to model the non-monotonic behaviour of soils is to incorporate kinematic hardening and/or bounding surface techniques (Mroz et al, 1978, Al-Tabbaa and Muir Wood, 1989, Manzari and Dafalias, 1997, Gajo and Muir Wood, 1999a). In these models the yield surface, enclosing the elastic states of the soil, moves to follow the

current stress state while the soil stiffness is generally proportional to the distance between the current stress state and its image on the bounding surface. While reversed plasticity during unloading, hysteretic behaviour and the progressive accumulation of plastic strains with the number of cycles can be simulated, these models have no features specifically intended to memorise the evolution of internal structure when the soil is subjected to repeated cycles. The predictions that are obtained for cyclic loading tend to show a very steady ratcheting behaviour; they are hardly affected by the small changes in specific volume during cycling and they fail to reproduce the progressive changes of strain accumulation rate with loading cycles. The stiffness of the sand hardly changes during the cycles.

Papadimitriou et al. (2001) and Papadimitriou and Bouckovalas (2002) also demonstrated the need for additional modelling features to account for the evolution of soil fabric during cyclic loading. They suggested that the evolution of plastic soil stiffness is affected by the accumulated plastic volumetric strains during each loading cycle. Dafalias and Manzari (2004) accounted for these changes by proposing a fabric-dilatancy tensor whose evolution is governed by the plastic dilative volumetric strains; the trace of the fabric-dilatancy tensor affects the flow rule of the model. Maleki et al. (2009) also implemented changes of the hardening modulus and stress-dilatancy law between monotonic/virgin and cyclic loading conditions. Within a multi-surface framework, Di Benedetto et al. (2014) proposed that a new loading surface should be generated each time the load path underwent stress reversal while all the previous loading surfaces were still memorised and the hardening modulus would be affected by the normalised plastic shear work. A history surface has been implemented in a three-surface model for clay (Stallebrass and Taylor, 1997) and more recently for sand (Jafarzadeh et al., 2007) to account for the evolution of soil anisotropy while subjected to non-monotonic loading in a cubical true triaxial cell.

A different modelling strategy is followed in this paper: the potential modelling benefits are explored of implementing an additional and evolving ‘memory surface’ to retain more information of stress history and somehow record the effect of repeated loading. The memory surface is a geometrical representation of the stress history and it bounds a region of stress states which the soil fabric ‘feels’ that it has already experienced. In contrast with other models, this memory surface is allowed to evolve both in size and position and thus simulate the changes in soil fabric during monotonic and repeated loading; the size and location of the memory surface may be a measure of the soil fabric and its anisotropy respectively. While in undrained cyclic conditions the change in effective stress generally has a dramatic effect on the soil stiffness and thus the soil response, the changes in soil density during drained cyclic loading appear to have less effect so that additional hardening mechanisms are necessary. Thus this paper concentrates on the ability of the proposed hardening mechanisms to capture the effects of soil fabric evolution during large numbers of drained cycles of loading. Simulations of drained cyclic and subsequent monotonic loading responses will be analysed for a range of densities, stress levels and cyclic amplitudes, while it will be demonstrated that the implemented modelling features enable also good simulations of the cyclic undrained response.

Basic concepts of proposed model

Description of the conceptual framework

The proposed modelling framework is built on a baseline soil model which broadly follows the kinematic hardening, bounding surface plasticity model proposed by Gajo and Muir Wood (1999a). A purely elastic region is bounded by the yield surface (f) which is a wedge with its apex at the origin of the q - p stress axes. When the soil stress state σ ($\sigma=[q, p]^T$ where

q is the deviatoric stress and p is the mean effective stress) lies on the edge of the yield surface, the soil undergoes elasto-plastic deformation and a kinematic movement of the yield surface is imposed to satisfy the consistency condition. The possible stress states are bounded by a bounding surface (or strength surface F) whose opening is governed by a state parameter ψ (Been and Jefferies, 1985) which accounts for the difference in current specific volume (v) and the respective one at critical state (v_{csl}) for the current stress p :

$$\psi = v - v_{csl} \quad (1)$$

Different formulations have been proposed for the critical state line in the literature. If the stress range is limited, a linear formulation of the critical state line can be selected (Gajo and Muir Wood, 1999a). In this paper, the critical state line is assumed to be linear in the compression plane (v - $\ln p$) and the specific volume at the critical state is calculated as $v_{csl} = v_\lambda - \lambda \ln p$, where v_λ and λ are two constitutive parameters defining the critical state specific volume for $p=1$ kPa and the slope of the critical state line (CSL), respectively. The strength surface approaches the critical state line as ultimate critical state conditions are asymptotically approached (Gajo and Muir Wood, 1999a).

Fig. 1a provides an overview of model surfaces. The current value of the tangent plastic soil stiffness is dependent on the distance between the current stress state (σ) and its image (or conjugate) stress (σ^B) on the bounding surface, defined in Fig. 1a. Adopting this framework to simulate soil response under drained cyclic loading conditions, plastic soil stiffness will only slightly vary upon consecutive cycles because of the limited changes in the soil state parameter (ψ) which affects the slope of the bounding surface. Soil behaviour is mostly governed by the state parameter if subjected to moderate to large shearing and soil fabric changes become of a second order importance. Thus the mechanical response between consecutive cycles will be rather similar and the changes in stiffness will be hardly noticeable. Evolution of model surfaces under such conditions and the corresponding stress-

strain response are presented in Fig. 1a and b, respectively. A further representation of the model surfaces in the deviatoric stress space is proposed in Fig. 1c, where an Argyris shape (Argyris et al., 1974) of the deviatoric section has been selected.

Additional model features: The memory surface and its evolution

The memory surface is an additional wedge in the q - p stress plane which recalls the stress states already experienced by the soil (Chow et al., 2015; Corti et al., 2015). When the current stress state lies inside the memory surface, the memory surface f^M acts as an additional bounding surface so that the plastic soil modulus is governed by an additional hardening term depending on the distance between the current stress state and its projection on the memory surface. This reproduces the experimentally observed stiffer soil behaviour during repeated loading compared with virgin loading. Three rules describe the evolution of the memory surface: Rule 1, the memory surface changes in size because of the experienced plastic strains; Rule 2, the memory surface always encloses the current stress state; Rule 3, the memory surface always encloses the current yield surface. Model response for simple stress paths in both the triaxial stress space and the deviatoric stress space is presented in Fig. 2a-b-c-d. One can interpret the memory surface as a record of the current fabric of the soil, describing the range of stresses that can be imposed without major disruptions to particle arrangement. The yield surface indicates the stress changes that can be imposed with essentially negligible interparticle movement.

Rule 1: The memory surface changes in size with the plastic strains

Positive plastic volumetric strains lead to a denser soil state which is generally related to a more stable configuration and stronger soil fabric. It seems quite reasonable to associate such conditions with an expansion of the memory surface as shown in Fig. 2a-b. In

contrast, it is postulated that negative (dilative) plastic volumetric strains produce a decrease in size of the memory surface which reproduces the loss of memory of some already experienced stress states (Fig. 2c-d). This last damage mechanism follows the experimental evidences by Tatsuoka et al (1997) who observed a decrease in soil stiffness, compared to virgin loading conditions, if large shearing or large amplitude cyclic loading was applied. Analogously, for cyclic loading under undrained conditions, a dramatic reduction in plastic stiffness can be observed when the load reversal is applied at high stress ratios (Ishihara et al., 1975, Georgiannou et al., 2008). Similarly to this proposal, Papadimitriou et al. (2001) and Papadimitriou and Bouckovalas (2002) introduced a damage to the soil fabric (leading to a reduction in plastic stiffness) when soil experiences dilative plastic volumetric strains.

Rule 2: The memory surface must always include the current stress state

Since the memory surface bounds a region of stress states which the soil fabric ‘feels’ that it has already experienced, the current stress state must always lie within its boundaries. During virgin loading conditions (when the current stress state is trying to explore an area outside the memory surface), the evolution of the memory surface must ensure that the current stress state lies on its boundaries as shown in Fig. 2a-b-c-d for both surface expansion and surface contraction.

Rule 3: The memory surface must always include the current yield surface

According to the interpretation of yield and memory surfaces given at the beginning of this section, it would be rather awkward to allow the yield to move outside the memory surface. The yield surface thus represents the minimum size of the memory surface and this requirement has to be considered in the contraction evolution law associated with negative plastic volumetric strains as shown in Fig. 2c-d.

Selected laws for the evolution of the memory surface which respect the three rules are discussed in the following section.

Mathematical formulation of the model

The model surfaces

The model assumes Mohr-Coulomb type failure conditions and the slope of the Critical State Line M_{cs} is linked to the critical state friction angle ϕ_{cv} by the usual relationships:

$$M_{cs} = \frac{6 \sin \phi_{cv}}{3t - \sin \phi_{cv}} \quad (2)$$

where t assumes the value of +1 or -1 if shearing is occurring in compression ($M_{cs}=M_{cv}$) or extension ($M_{cs}=M_{ev}$), respectively. Following the original development (Gajo and Muir Wood 1999a), the model is conveniently developed in a normalised stress space. The normalised stress state is defined as:

$$\bar{\sigma} = \begin{bmatrix} q \\ r \\ p \end{bmatrix} \quad (3)$$

where r is a function depending on the state parameter ψ (Eq. (1)) and expressed as:

$$r = 1 - k\psi \quad (4)$$

where k is a constitutive parameter. Some attention should be paid to the choice of the value of k such that r remains positive for the investigated range of soil density. While the use of a normalised stress space leads to a simplified and more elegant modelling formulation, the validity of the proposed modelling strategy holds even if implemented in the conventional stress space ($\sigma=[q, p]^T$).

With state parameter defined in (1) and strength variation defined in (4) it is clear that the normalising ratio r can become negative. Alternative definitions of ψ and r can be easily adopted to avoid this problem (e.g. Li and Dafalias, 2000).

209 The bounding surface (F) is a wedge in the normalised stress space and its opening is equal to
 210 the critical state wedge opening, defined in Eq. (2):

$$211 \quad F(\bar{\sigma}) = \bar{\eta} - M_{cs} = 0 \quad (5)$$

212 where $\bar{\eta} = \bar{q}/p$ is the normalised stress ratio and $\bar{q} = q/r$.

213 The size of the yield surface is defined by the scalar m , representing the yield surface
 214 opening, that assumes the value m_c or m_e if the direction of shearing is heading towards
 215 compression or extension, respectively. The scalar m is proportional to the opening of the
 216 bounding surface M_{cs}

$$217 \quad m = R|M_{cs}| \quad (6)$$

218 where R is a constitutive parameter. The yield surface (f) is expressed by:

$$219 \quad f(\bar{\sigma}, \alpha) = \bar{\eta} - \alpha - t m = 0 \quad (7)$$

220 where the quantity $\alpha = \alpha_q/\alpha_p$, with α_q and α_p being the components of the unit direction vector
 221 of the centre of the yield surface $\alpha = [\alpha_q, \alpha_p]^T$. In the following development, the subscript \square_q
 222 and \square_p indicate deviatoric and isotropic components, respectively.

223 Following the described framework, the memory surface (f^M) is a wedge defined by opening
 224 angles $m^M = m_c^M$ and m_e^M in compression and extension, respectively, and the unit direction of
 225 the centre of the memory surface $\alpha^M = [\alpha_q^M, \alpha_p^M]^T$. Initially, when the stress state lies on the
 226 hydrostatic axes ($\bar{q} = 0$), the memory surface (f^M) is assumed to coincide with the yield
 227 surface but, as soon as the soil yields, the evolving stress history is followed through the
 228 evolution of the memory surface.

229 The memory surface is formulated as:

$$230 \quad f^M(\bar{\sigma}, \alpha^M, m^M) = \bar{\eta} - \alpha^M - t m^M = 0 \quad (8)$$

231 with $\alpha^M = \alpha_q^M/\alpha_p^M$. A schematic representation of model surfaces is provided in Fig. 3a-b.

232 For consistency with the other model surfaces, the following relationship between the
 233 extension and compression sizes of the memory surface holds:

$$m_e^M/m_c^M = m_e/m_c \quad (9)$$

235

236 *General elasto-plastic constitutive relationships*

237 When the stress state lies inside the yield locus ($f(\bar{\sigma}, \alpha) < 0$), the soil obeys laws of
 238 isotropic elasticity and the incremental stress-strain relation ($\delta\sigma$ - $\delta\epsilon$, with $\epsilon=[\epsilon_q, \epsilon_v]^T$) becomes:

$$239 \quad \delta\sigma = \mathbf{D}^e \delta\epsilon = \begin{bmatrix} 3G & 0 \\ 0 & K \end{bmatrix} \delta\epsilon \quad (10)$$

240 where \mathbf{D}^e is the elastic stiffness matrix and it is expressed in terms of elastic tangent shear
 241 modulus G and bulk modulus K . The normalised elastic stiffness matrix $\bar{\mathbf{D}}^e$, representing the
 242 elastic stiffness matrix in the normalised stress space, is calculated as:

$$243 \quad \bar{\mathbf{D}}^e = \begin{bmatrix} \frac{1}{1-k\psi} & \frac{k\lambda q}{(1-k\psi)^2 p} \\ 0 & 1 \end{bmatrix} \mathbf{D}^e - \begin{bmatrix} 0 & \frac{kqv}{(1-k\psi)^2} \\ 0 & 0 \end{bmatrix} \quad (11)$$

244 When yielding occurs ($f(\bar{\sigma}, \alpha) = 0$), elasto-plastic conditions apply. The loading direction
 245 \mathbf{n} , representing the outward normal to the yield surface, is calculated from partial
 246 differentiation of Eq. (7)

$$247 \quad \mathbf{n} = \begin{bmatrix} n_q \\ n_p \end{bmatrix} = \begin{bmatrix} t \frac{1}{\sqrt{1+\bar{\eta}^2}} \\ t \frac{-\bar{\eta}}{\sqrt{1+\bar{\eta}^2}} \end{bmatrix} \quad (12)$$

248 The dilatancy flow rule governing the evolution of the plastic strain increment ($\delta\epsilon^p = [\delta\epsilon_q^p,$
 249 $\delta\epsilon_v^p]^T$) and assumed to be non-associative, is of the following form:

$$250 \quad d = \frac{\delta\epsilon_v^p}{\delta\epsilon_q^p} = A[M_{cs}(1 + k_d\psi) - \eta] \quad (13)$$

251 where the constitutive parameter A is a flow rule multiplier and k_d is a constitutive parameter
 252 governing the dependence of the plastic dilatancy on the state parameter. The outward normal
 253 of the plastic potential \mathbf{m} is calculated directly from Eq. (13):

$$254 \quad \mathbf{m} = \begin{bmatrix} m_q \\ m_p \end{bmatrix} = \begin{bmatrix} t \frac{1}{\sqrt{1+d^2}} \\ t \frac{d}{\sqrt{1+d^2}} \end{bmatrix} \quad (14)$$

255 Following the classical elasto-plasticity theory, the plastic strain increment vector can be
 256 defined as

$$257 \quad \delta \boldsymbol{\varepsilon}^p = \frac{1}{H} \mathbf{m} \mathbf{n}^T \delta \bar{\boldsymbol{\sigma}} \quad (15)$$

258 From Eq. (15), the plastic volumetric strain increment is re-written as

$$259 \quad \delta \varepsilon_v^p = \frac{1}{H} m_p p n_q \delta \bar{\eta} \quad (16)$$

260 where H is the hardening modulus which describes the required smooth (in general highly
 261 non-linear) stress-strain relationship.

262 For implementation in the normalised stress space, the unit vector \mathbf{m} must be defined in this
 263 space by considering an extra small deviatoric component (Gajo and Muir Wood, 1999a)

$$264 \quad \mathbf{m}^* = \mathbf{m} + \bar{\mathbf{D}}^e^{-1} \begin{bmatrix} 0 & \frac{kqv}{(1-k\psi)^2} \\ 0 & 0 \end{bmatrix} \mathbf{m} \quad (17)$$

265 The stress-strain relationship $\delta \bar{\boldsymbol{\sigma}} - \delta \boldsymbol{\varepsilon}$ is finally defined by combining Eqs (10), (15) and (17)
 266 as

$$267 \quad \delta \bar{\boldsymbol{\sigma}} = \bar{\mathbf{D}}^e p \delta \boldsymbol{\varepsilon} = \left[\bar{\mathbf{D}}^e - \frac{\bar{\mathbf{D}}^e \mathbf{m}^* \mathbf{n}^T \bar{\mathbf{D}}^e}{H + \mathbf{n}^T \bar{\mathbf{D}}^e \mathbf{m}^*} \right] \delta \boldsymbol{\varepsilon} \quad (18)$$

268 As shown in Fig. 2a-b the conjugate stress on the memory surface ($\bar{\boldsymbol{\sigma}}^M = [\bar{\eta}^M p, p]^T$, with $\bar{\eta}^M$
 269 being the stress ratio associated with $\bar{\boldsymbol{\sigma}}^M$) and on bounding surface ($\bar{\boldsymbol{\sigma}}^B = [M_{cs} p, p]^T$) must
 270 be introduced (Fig. 3a). It should be noted that the conjugate stresses are calculated on the
 271 current deviatoric stress space (Fig. 3b).

272 The hardening modulus H is modified from the one proposed originally by Gajo and Muir
 273 Wood (1999a) to account for the inclusion of the memory surface through the distance b^M
 274 between the current stress state $\bar{\boldsymbol{\sigma}}$ and its image on the memory surface $\bar{\boldsymbol{\sigma}}^M$ (Fig. 3a-b):

$$275 \quad H = \frac{b^2}{b_{max}^B} h \quad (19)$$

276 where h is an appropriate function to define the stiffness changes within the memory surface.

$$277 \quad h = e^{\left(\frac{\mu b^M}{b} - r\right)} \quad (20)$$

where $b = n_q[M_{cs}p - \bar{q}]$ is the distance between the current stress state ($\bar{\sigma}$) and the conjugate one on the bounding surface ($\bar{\sigma}^B$); $b^M = n_q[(\alpha^M + t m^M)p - \bar{q}]$ is the distance between the current stress state ($\bar{\sigma}$) and the conjugate one on the memory surface ($\bar{\sigma}^M$); $b_{max} = n_q t[M_{cv} + |M_{ev}|](1 - R)p$ (following Gajo and Muir Wood, 1999b) is the maximum value that b can assume; B and μ are two constitutive parameters governing the stress-strain response in monotonic and cyclic conditions, respectively and r is defined in Eq. (4). Although the hardening modulus H is always positive, the model can predict strain softening because of its implementation in the normalised stress space (Gajo and Muir Wood, 1999).

When the stress state lies on the memory surface (the distance $b^M=0$ and $h=1$), soil is in a virgin state and the original formulation of the hardening modulus employed by Gajo and Muir Wood (1999a) is re-established. In this case, the hardening modulus H is fully governed by the parameter B . Instead, when the stress state lies fully inside the memory surface (f^M), the constitutive parameter μ controls the increase in value of the hardening modulus H (through the term h) if compared to virgin loading conditions for the same stress state and size of the bounding surface. It should be noted that under non-monotonic loading conditions, the memory surface changes in size: an expansion of the memory surface leads to a progressive increase of the term h , leading to progressive increase of plastic soil stiffness; similarly, a reduction in size of the memory surface leads to a reduction in plastic soil stiffness.

Mathematical implementation of the rules for evolution of the memory surface

While the consistency condition of the yield surface can be found in Gajo and Muir Wood (1999a) (note the slightly different definition of the yield surface), in this paper more attention is given to the implementation of the memory surface. The evolution of the memory

surface is described by defining the translation of the memory surface centre α^M and the changes in the memory surface size m^M .

Evolution of the memory surface opening

Following the described framework, when soil experiences plastic contractive volumetric strains, the memory surface expands (shown in Fig. 2a-b); whereas when soil experiences dilative plastic volumetric strains, the memory surface contracts (shown in Fig. 2c-d). The stress state and the yield surface must always lie on or inside the memory surface; this condition is particularly important for virgin conditions, where the memory surface evolves to accommodate the newly experienced stress state. Moreover, the condition of inclusion of the yield surface represents a limit to the contraction of the memory surface when soil experiences dilative plastic volumetric strains (Fig. 2c-d).

In order to develop an evolution rule for the memory surface, it may be convenient to imagine the expansion/contraction of the memory surface guided by the evolution of the image stress ($\bar{\sigma}^M$). It should be noted that for virgin loading conditions, the image stress coincides with the current stress state. It is possible to rewrite Eq. (16) for the image stress and invert it to obtain a relationship between plastic volumetric strains and change of the image ratio stress ($\bar{\eta}^M$).

$$\delta \bar{\eta}^M = \frac{H^M}{m_p p n_q} \delta \varepsilon_v^p \quad (21)$$

where H^M is the value of the hardening modulus if the stress state were coincident with the current image stress ($\bar{\sigma}^M$):

$$H^M = \frac{(b - b^M)^2}{b_{max} B} \quad (22)$$

By assuming that the expansion of the memory surface is the result of a surface stretching to include the new image stress (but keeping the same shape) as shown in Fig. 2a-b, it is

possible to define the following evolution law for the opening of the surface in triaxial conditions:

$$\delta m^M = t \frac{z}{p n_q} \frac{H^M}{m_p} \delta \varepsilon_v^p \quad (23)$$

where $z=|M_{cs}|/(M_{cv}+|M_{ev}|)$ and t are terms to consider whether the image stress is located on the compression or extension side of the memory surface. However, a further shrinkage of the surface should be allowed when plastic dilative strain takes place. An additional term for the size of the surface is then introduced to produce the following general expression for the evolution of the size of the surface:

$$\delta m^M = \frac{z}{p n_q} \left[t \frac{H^M}{m_p} \delta \varepsilon_v^p - \frac{b_{MAX}^M - b^M}{\varsigma} \langle -\delta \varepsilon_v^p \rangle \right] \quad (24)$$

where ς is a constitutive parameter governing the memory surface contraction and the symbol $\langle A \rangle$ represents the Macaulay brackets ($\langle A \rangle = A$ if $A > 0$ else $\langle A \rangle = 0$). The quantity $b_{MAX}^M - b^M$ is introduced to ensure that the memory surface never intersects the current yield surface. Similarly to b_{MAX} , b_{MAX}^M is simply expressed as:

$$b_{MAX}^M = n_q t [m_c^M + m_e^M - m_c - m_e] p \quad (25)$$

Equation (25) is the final expression of evolution for the size of the memory surface which is valid for all the loading conditions presented in Fig. 2a-b-c-d.

Translation of the memory surface centre

The translation of the memory surface centre α^M follows the Mroz rule (Mroz, 1967, Yu, 2006) which assumes that the translation of the centre of a surface occurs along the vector joining the image stress on such surface and the image stress on the next surface. The direction of translation of the yield surface and memory surface can be observed in Fig. 3a in the triaxial stress space and in Fig. 3b in the deviatoric stress space. Following the Mroz rule, the evolution of the memory surface centre can be expressed as

$$\delta \alpha^M = \tau(\bar{\sigma}^B - \bar{\sigma}^M) \quad (26)$$

where τ is a scalar quantity. Eq. (26) must be slightly modified to ensure that the norm of $\alpha^M + \delta \alpha^M$ is unitary (Gajo and Muir Wood, 1999b)

$$\delta \alpha^M = \tau(\bar{\sigma}^B - \bar{\sigma}^M) - \tau[(\bar{\sigma}^B - \bar{\sigma}^M)^T \alpha^M] \alpha^M \quad (27)$$

The consistency condition ensures that the image stress ($\bar{\sigma}^M$) remains on the memory surface:

$$\delta f^M(\bar{\sigma}^M, \alpha^M, m^M) = \left(\frac{\partial f^M}{\partial \bar{\sigma}^M}\right)^T \delta \bar{\sigma}^M + \left(\frac{\partial f^M}{\partial \alpha^M}\right)^T \delta \alpha^M + \frac{\partial f^M}{\partial m^M} \delta m^M = 0 \quad (28)$$

where

$$\delta \bar{\sigma}^M = \begin{bmatrix} \bar{\eta}^M \\ 1 \end{bmatrix} \delta p + \begin{bmatrix} p \\ 0 \end{bmatrix} \delta \bar{\eta}^M \quad (29)$$

It is now possible to define the scalar quantity τ by substituting Eq. (21) and Eq. (29) into Eq. (28) and then combining Eq. (28) and (27). The validity of this proposal has been checked for generalised multiaxial loading conditions. For the triaxial loading conditions of this paper, the evolution law of the centre of the surface becomes:

$$\delta \alpha^M = \frac{H^M}{m_p p n_q} \delta \varepsilon_v^p - t \delta m^M \quad (30)$$

Calibration of the model and effect of individual model parameters

Summary of constitutive model parameters

The proposed memory surface development requires only two extra constitutive parameters (μ and ς) in addition to those required for the selected baseline model, making a total number of 12 necessary parameters. These are summarised and described in Table 1.

Calibration of parameters and their influence on simulations

Parameters of the baseline model

The calibration procedure and the influence of the parameters related to the newly introduced memory surface is presented here with reference to the experimental work performed by Escribano (2014) on Hostun RF sand. The parameters for the baseline soil model (first ten parameters in Table 1, from G to k_d) have been calibrated to obtain satisfactory simulations of the stress ratio-deviatoric strain (η - ε_q) and volumetric trends (ε_v - ε_q), shown in Fig. 4a and Fig. 4b respectively, and the selected parameters well agree with published simulation attempts on similar materials (Diambra et al. 2010, Diambra et al. 2011). These show triaxial drained compression tests on four sand specimens of different densities and tested under conventional drained triaxial test conditions at different constant cell confining pressures. The elastic parameters have been deduced from the empirical relationship proposed by Hardin and Black (1966); the proposed formulation is slightly modified to ensure dimensional consistency:

$$\frac{G_0}{p_{atm}} = 323 \frac{(2.97-e)^2}{1+e} \sqrt{\frac{p}{p_{atm}}} \quad (31)$$

where G_0 is the dynamic shear modulus and $p_{atm}=100$ kPa represents the atmospheric pressure. The elastic shear modulus is assumed to correspond to the dynamic modulus defined in Eq. (31). It was observed that after many loading cycles, when the soil was in a pseudo-elastic condition, Eq. (31) well described the elastic shear modulus. The critical state parameters v_λ and λ were selected following the experimental campaign on Hostun Sand of Escribano (2014), while the parameters A , k_d and B were calibrated following the existing guidelines for the calibration of the Severn-Trent Sand model for Hostun Sand (Gajo and Muir Wood, 1999a).

Parameters for memory surface evolution

The available drained cyclic triaxial tests performed by Escibano (2014) consisted of a preliminary shearing under constant mean stress (p) to a target stress ratio η^{ave} from which the cyclic shear stress with amplitude of stress ratio $\Delta\beta=\Delta q/p$ was applied symmetrically, varying only the axial vertical stress and keeping a constant cell pressure, as shown in Fig. 5a-b. For the following simulations, there is a discrepancy between experiments and simulations during the first half-cycle, and for this reason the concept of accumulated strain ϵ^{acc} , as defined by Wichtmann (2005) is introduced. This represents the permanent strain accumulated during cyclic loading at the end of each cycle.

The constitutive parameter μ controls the evolution of stiffness within the memory surface and governs strain accumulation during cyclic loading. The calibration of μ requires experimental results from cyclic tests. The value of the parameter was chosen by simultaneously and satisfactorily fitting the trend of strain accumulation with the number of cycles shown in Fig. 6a and fitting the stress-strain response during renewed monotonic loading at the end of the cyclic stage, as shown in Fig. 6b.

Fig. 7a-b provides a parametric analysis on the influence of the constitutive parameter μ for the strain accumulation during cyclic loading and the stress-strain response on subsequent monotonic reloading to failure. It is possible to increase the importance of the distance b^M in the definition of the hardening modulus (see Eqs (19) and (20)) by increasing μ resulting in more rapid soil stiffening (Fig. 7b) and thus less accumulation of deviatoric strains with number of cycles (Fig. 7a). Increasing the hardening modulus through μ reduces the overshooting on subsequent monotonic reloading (Fig. 7b) because the surface expansion (which bounds an increased stiffness region) is reduced by the lower plastic permanent volumetric strain developed (see Eq. 24).

The contraction term introduced in Eq. (24) is essential for a reasonable prediction of the soil behaviour under undrained cyclic loading conditions. As suggested by experimental evidence (Ishihara et al., 1975, Georgiannou et al., 2008), contraction of the memory surface occurs only when the soil experiences dilative plastic volumetric strains. A comparison of model simulations with and without the damage mechanism is provided in Fig. 8. Without the contraction mechanism, continuous cycling would cause progressive expansion of the memory surface inducing a progressive soil stiffening (Fig. 8a-b). By contrast, the damage rule in Eq. (24) permits rapid contraction of (damage to) the memory surface when the soil experiences plastic dilative strains and allows the correct qualitative simulation of pore water pressure build up and effective stress path response (Fig. 8c-d). Under drained conditions the memory surface progressively contracts leading to a large strain accumulation, and an almost constant accumulated strain rate; this is consistent with the experiments performed by Wichtmann (2005) where it was experimentally observed that for a dense soil cycled at a high average stress ratio soil stiffening is rather slow leading to a large accumulation. The rate of memory surface contraction is governed by the parameter ς in Eq. (24) and its influence on the simulations for loading-unloading undrained triaxial tests with stress reversal is illustrated in Fig. 9. Reducing ς results in an increased rate of contraction of the memory surface which is reflected in Fig. 9 by the reduced extent of the stiffer response zone within the memory surface during the unloading stage. While the influence of this parameter for the drained test conditions simulated in the following is generally negligible, a value of parameter $\varsigma=0.00003$ was imposed.

Model simulations and performances

The use of the model to simulate the experimentally observed cyclic drained response of Hostun RF sand at different densities, stress ratios and initial mean pressure (Escribano, 2014) is explored in this section. The single set of constitutive parameters presented in Table 1 has been adopted.

Typical simulations

Typical simulations of the deviatoric stress-strain and volumetric soil behaviour under drained cyclic triaxial loading are shown in Fig. 10 and Fig. 11 for both loose and dense specimens, cycled at constant mean pressure of $p=100$ kPa but at different average stress ratios, $\eta^{ave}=0.25$ and 0.50 , respectively. The cyclic amplitude $\Delta\beta=0.31-0.33$ was rather similar for all the four tests simulated. The model simulates the experimental data quite well; the predictions are good for the accumulated deviatoric strains for all the four tests and a smaller accumulation of strains is predicted with increased specimen density (compare Fig. 10a-b and Fig. 11a-b). The trends in the volumetric planes in Fig. 10c-d and Fig. 11c-d are well reproduced with limited influence of the density on the slope of the responses. There are some small discrepancies on the predicted slopes of the accumulated ε_v - ε_q trends, with the modelling suggesting (as expected) a steeper slope for the looser sample. These slopes are indeed governed by the adopted flow rule in Eq.(13).

Rate of accumulation of plastic strains

An explicit comparison of measured and predicted accumulation of deviatoric and volumetric plastic strains with the number of cycles for specimens cycled at different densities and average stress ratios is presented in Fig. 12 and Fig. 13. The tests in Fig. 12 were all cycled at an average stress ratio $\eta^{ave}=0.5$, while the soil density and cell confining pressure are varied.

The proposed model generally captures rather well the magnitude of the deviatoric strains and the order of the trends of the different tests (Fig. 12a-b). The magnitude and order of the volumetric trends is also quite well captured but probably with less accuracy, as the model seems to underestimate the volumetric strains for the loosest void ratio $e_0=0.819$ and slightly overestimate for the densest $e_0=0.718$ (Fig. 12c-d). Experimental uncertainty may be relevant perhaps induced by non-uniformities of volumetric strains that may occur during cycling (Escribano, 2014) or there may be an effect of isotropic testing stress level (p_0) which is not fully captured by the proposed memory surface expansion rule. The tests in Fig. 13 were all performed on loose specimens (relative densities $D_r=7\%$ to 29%) at the same confining pressure but with different average stress ratio $\eta^{ave}=0, 0.25$ and 0.50 . The model simulates the deviatoric responses and even predicts negative deviatoric strains when cyclic loading is applied at $\eta^{ave}=0$ (Fig. 13a-b). There is also a good agreement between measured accumulated volumetric strains (Fig. 13c) and related model predictions (Fig. 13d) except for the test in which cyclic loading is applied at $\eta^{ave}=0$, where the model underestimates volumetric accumulated strains. This discrepancy at low average stress ratio stress states may be linked to the adopted flow rule (Eq. (13)) and the employment of a non-linear dilatancy function (e.g. Li and Dafalias 2000, Cazaciu and Ibraim 2016; Ibraim et al. 2010) may lead to more accurate predictions.

Cyclic tests with variable amplitude

In a more realistic scenario, soil is subjected to cyclic amplitudes of different magnitudes. Such conditions have been reproduced in the triaxial cell, where a sample of medium density ($e_0=0.925$ and $p_0=100$ kPa) was subjected to cyclic amplitude of different magnitudes. The test was performed following the loading stages shown in Fig. 14. The target average stress ratio, at which cyclic loading was applied, was always reached through shearing the sample at constant mean pressure (stages AB, BD, DF and FH in Fig. 14), with $\eta^B=0.2$, $\eta^D=0.5$, $\eta^F=0.7$

and $\eta^H=0.9$. The cyclic loading was then applied under typical triaxial conditions (stages BC, DE, FG and HI in Fig. 14) imposing $\Delta\beta^{BC}=0.18$ for $N_{cyc}=50$, $\Delta\beta^{DE}=0.48$ for $N_{cyc}=5$, $\Delta\beta^{FG}=0.62$ for $N_{cyc}=10$ and $\Delta\beta^{HI}=0.84$ for $N_{cyc}=10$. The sample was finally subjected to monotonic shearing at constant mean pressure. The comparison between the experimental findings and model predictions is shown in Fig. 15a-b-c-d. The experimental stress-strain response (Fig. 15a) is well reproduced by the model as shown in (Fig. 15b) even though the response from model simulations seems to be stiffer than the predicted one. The model predicted just elastic response while simulating the first cyclic sequence (BC) because the stress state was always enclosed within the elastic region. The measured volumetric strains (Fig. 15c) are generally lower than the predicted ones (Fig. 15d). Experimentally, soil seems to dilate during the first cyclic loading sequence (Fig. 15c) while just pure contraction would be expected. These discrepancies might be related with non-uniformities in the experimental measurements of volumetric strains.

Conclusions

This paper proposes additional ingredients for kinematic hardening bounding surface constitutive models to improve the simulation of the behaviour of granular soils under large number of repeated/cyclic loading. The proposed formulation incorporates an additional memory surface, which represents the somewhat stable fabric associated with the historic stress states which the soil has the feeling that it has already experienced. When the stress state lies inside the memory surface, an additional hardening term depending on the distance of the current stress state to the memory surface is introduced to capture the stiffer soil behaviour typically observed during repeated loading by comparison with virgin loading. The memory surface evolves according to three rules each of which can be linked qualitatively to the changes in fabric (particle arrangement) which result from the occurrence

of plastic strains and which tend to modify the ability of the soil to remember its past. Rule 1) The memory surface changes in size following the experienced plastic strains. Rule 2) The memory surface always encloses the current stress state. Rule 3) The memory surface always encloses the current yield surface. The expansion of the memory surface with plastic contractive volumetric strains is the key development which permits successful simulation of the stiffening behaviour of soil under cyclic loading conditions and also the increased stress range for stiff response observed upon monotonic reloading following a series of cyclic stress changes.

Evidently, such a modelling framework can be constructed using any desired elasto-plastic constitutive model as the basic element. Just two additional constitutive parameters are needed. The value of the proposed modelling framework has been demonstrated against an extensive testing campaign of drained cyclic triaxial tests on Hostun RF sand. Accuracy of prediction of accumulated deviatoric and volumetric strain has been shown for tests on samples with a range of initial density, up to 1500 loading cycles of different amplitude and average stress ratio.

Though the model has been formulated in terms of stress and strain conditions which are accessible in the axisymmetric triaxial apparatus, the memory surface concept and its hardening mechanisms have been described qualitatively in a general principal stress.

There are improvements to the model that might be anticipated. These might include: modifications to the detail of the functions and criteria which govern the expansion and translation of the memory surface; a closer inspection of the behaviour of the soil under cycles of loading which incur plastic volumetric expansion – noting that such volume changes tend to be linked with shearing at high stress ratios for which localisation and other undesirable responses may occur which may undermine the reliability of experimental data – and the inclusion of an anisotropic elastic stiffness matrix which is more sensitive to the

plastic strains that have occurred (which themselves indicate changes in soil fabric through particle slippage and rearrangement). However, the emphasis in the model development has been on the minimum degree of complexity required to describe features of response which are observed experimentally.

Acknowledgments

The authors are grateful to the Queen's School of Engineering of the University of Bristol that offered financial support to this work.

Notation list

The following symbols are used in the paper:

A = flow rule multiplier;

B = monotonic loading hardening modulus constitutive parameter;

b = distance between the stress state and its image on the bounding surface;

b^M = distance between the stress state and its image on the memory surface;

b_{max} = maximum value of b ;

b^M_{max} = maximum value of b^M ;

d = dilatancy;

\mathbf{D}^e = elastic stiffness matrix ($\bar{\mathbf{D}}^e$ in the normalised stress space);

\mathbf{D}^{ep} = elasto-plastic stiffness matrix;

e_0 = initial void ratio;

f = yield function;

F = bounding surface function;

f^M = memory surface function;

569 G = elastic shear modulus;
 570 H = hardening modulus;
 571 H^M = hardening modulus if stress state $\bar{\sigma}$ coincides to the image stress on the memory
 572 surface $\bar{\sigma}^M$;
 573 k = effect of state parameter on soil strength constitutive parameter;
 574 K = elastic bulk modulus;
 575 k_d = flow rule constitutive parameter;
 576 M_{cs} = critical state line slope; M_{cv} and M_{ev} in compression and extension, respectively;
 577 \mathbf{m} = unit direction of plastic flow $\mathbf{m}=[m_q, m_p]^T$; \mathbf{m}^* is its transformation in the normalised
 578 stress space;
 579 m = yield surface opening; $m=m_c$ or m_e in compression and extension, respectively;
 580 m^M = memory surface opening; $m^M=m_c^M$ or m_e^M in compression and extension, respectively;
 581 \mathbf{n} = unit loading direction $\mathbf{n}=[n_q, n_p]^T$;
 582 p = effective mean pressure (p_0 initial mean pressure);
 583 p_{atm} = 100 kPa, atmospheric pressure;
 584 q = deviatoric stress;
 585 R = ratio of opening of yield surface and strength surface;
 586 t = quantity to distinguish between compression ($t=1$) and extension ($t=-1$);
 587 $z=|M_{cs}|/(M_{cv}+|M_{ev}|)$;
 588 α = yield surface centre $\alpha=[\alpha_q, \alpha_p]^T$. The slope is calculated as $\alpha=\alpha_q/\alpha_p$;
 589 α^M = memory surface centre $\alpha^M=[\alpha_q^M, \alpha_p^M]^T$. The slope is calculated as $\alpha^M=\alpha_q^M/\alpha_p^M$;
 590 $\Delta\beta$ = cyclic amplitude ($=\Delta q/p_0$);
 591 $\delta\epsilon$ = strain increment vector; $\delta\epsilon_q$ and $\delta\epsilon_v$ deviatoric and volumetric strain increment;
 592 $\delta\epsilon_v^p$ = plastic volumetric strain increment;
 593 ϵ_v^{acc} = accumulated volumetric strains;

594 ε_q^{acc} = accumulated deviatoric strains;
595 $\bar{\eta}$ = normalised stress ratio;
596 $\bar{\eta}^M$ = stress ratio for $\bar{\sigma}^M$;
597 η^{ave} = cyclic average stress ratio;
598 λ = critical state line slope in v -ln p plane;
599 μ = memory surface hardening modulus constitutive parameter;
600 ν = Poisson's ratio;
601 σ = current stress state;
602 $\bar{\sigma}$ = normalised stress state;
603 $\bar{\sigma}^B, \bar{\sigma}^M$ = image stresses on the bounding surface and memory surface;
604 ς = memory surface damage constitutive parameter;
605 τ = scalar quantity to calculate $\delta\alpha$;
606 v = specific volume;
607 v_λ = intercept for critical state line in the v -ln p plane;
608 ϕ_{cv} = critical state friction angle.

609

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Table 1: Constitutive parameters of the proposed Memory Surface Hardening Model

Parameter	Description	Value
G	Elastic Shear Modulus	G_0
ν	Poisson's ratio	0.1
ϕ_{cv}	Friction angle at critical state conditions	29.5°
λ	Slope of the critical state line in the ν - $\ln p$ plane	0.031
v_λ	Value of the specific volume on the critical state line at $p=1$ kPa	2.065
R	Ratio between the opening of the yield surface and the strength surface	0.075
B	Parameter controlling hardening modulus	0.0013
k	Parameter controlling the relationship between soil strength and state parameter	3.8
A	Flow rule multiplier	1.1
k_d	State parameter dependence in the flow rule	1.3
μ	New hardening constitutive parameter	16
ς	Damage rule parameter	0.00003

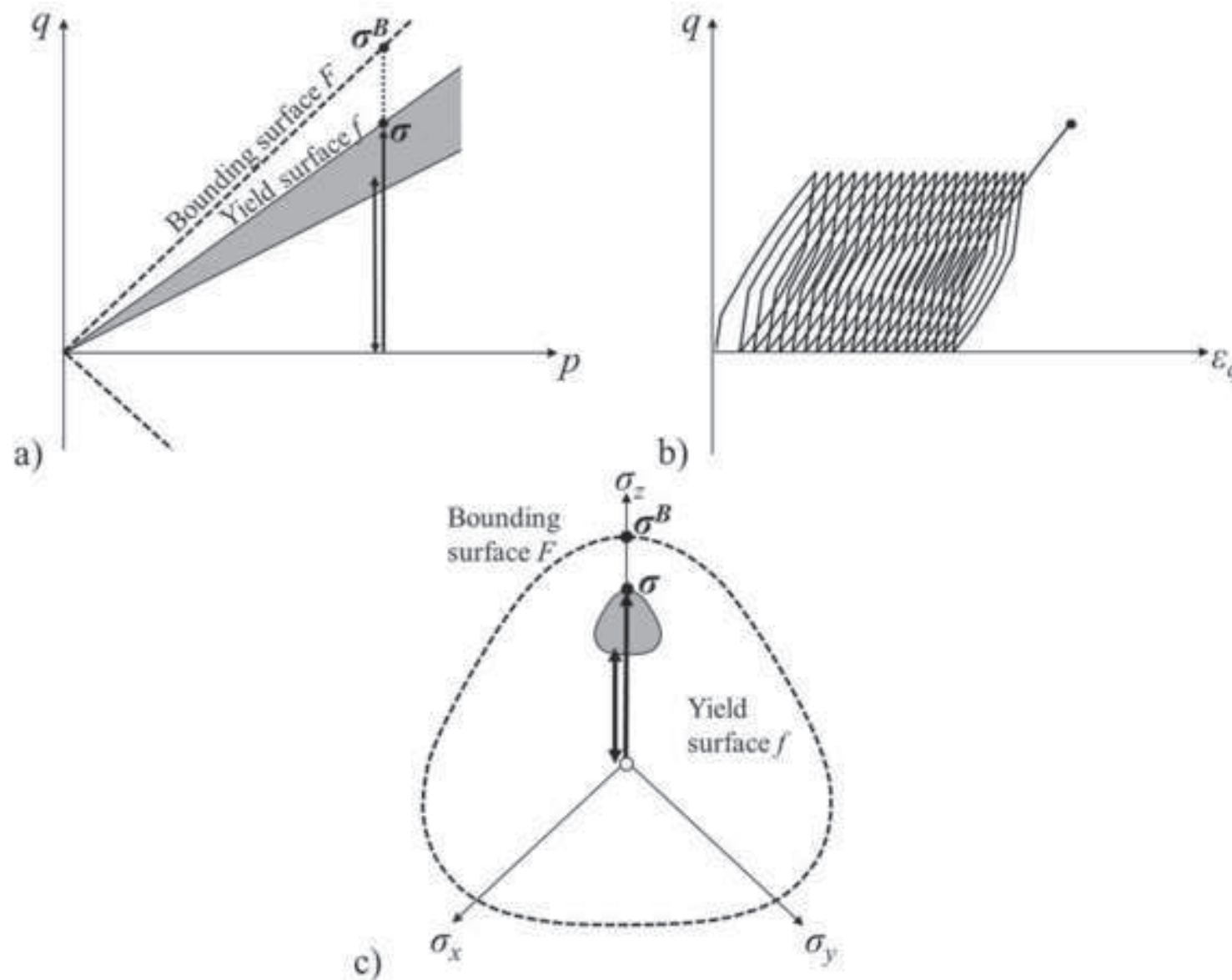


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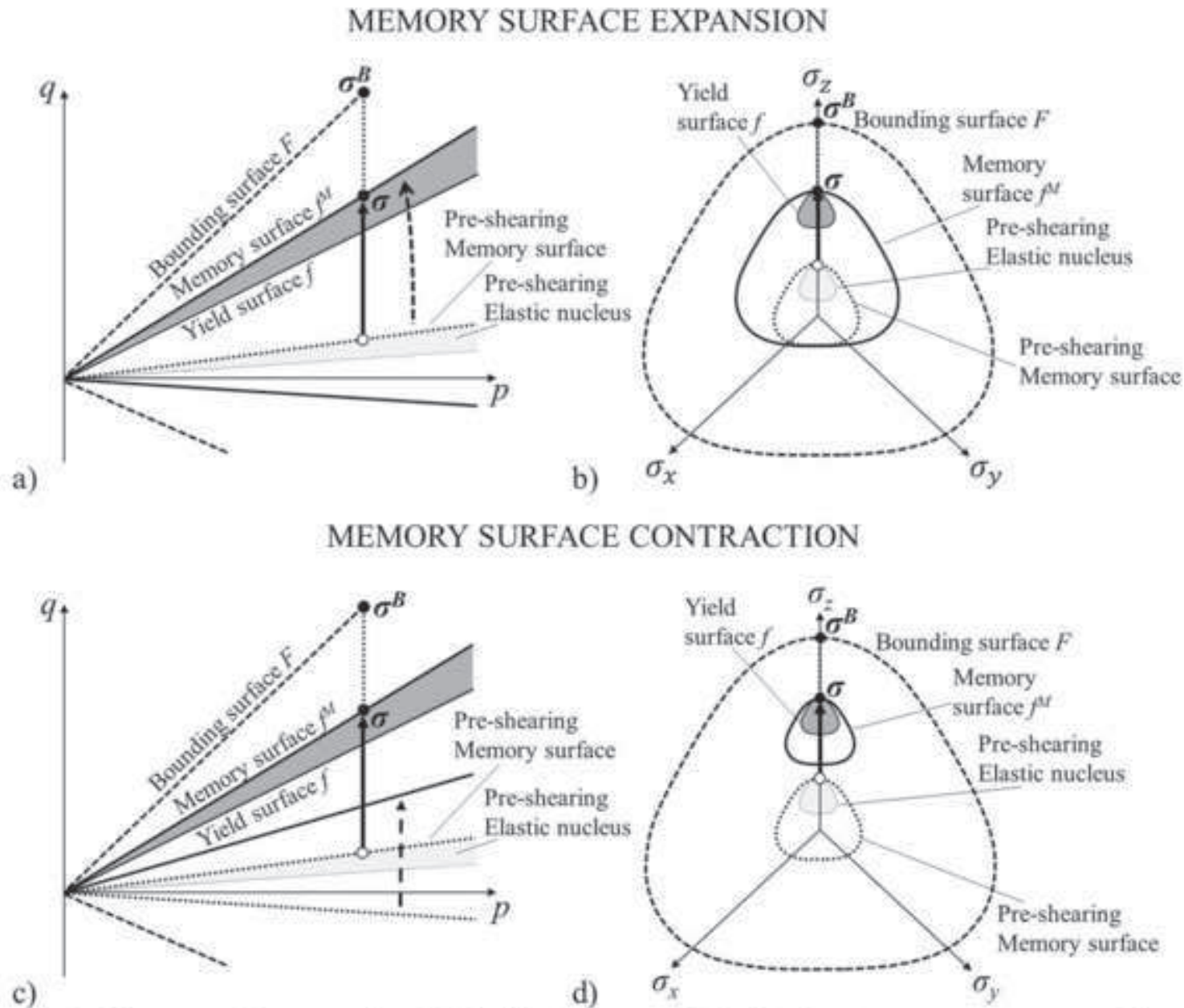


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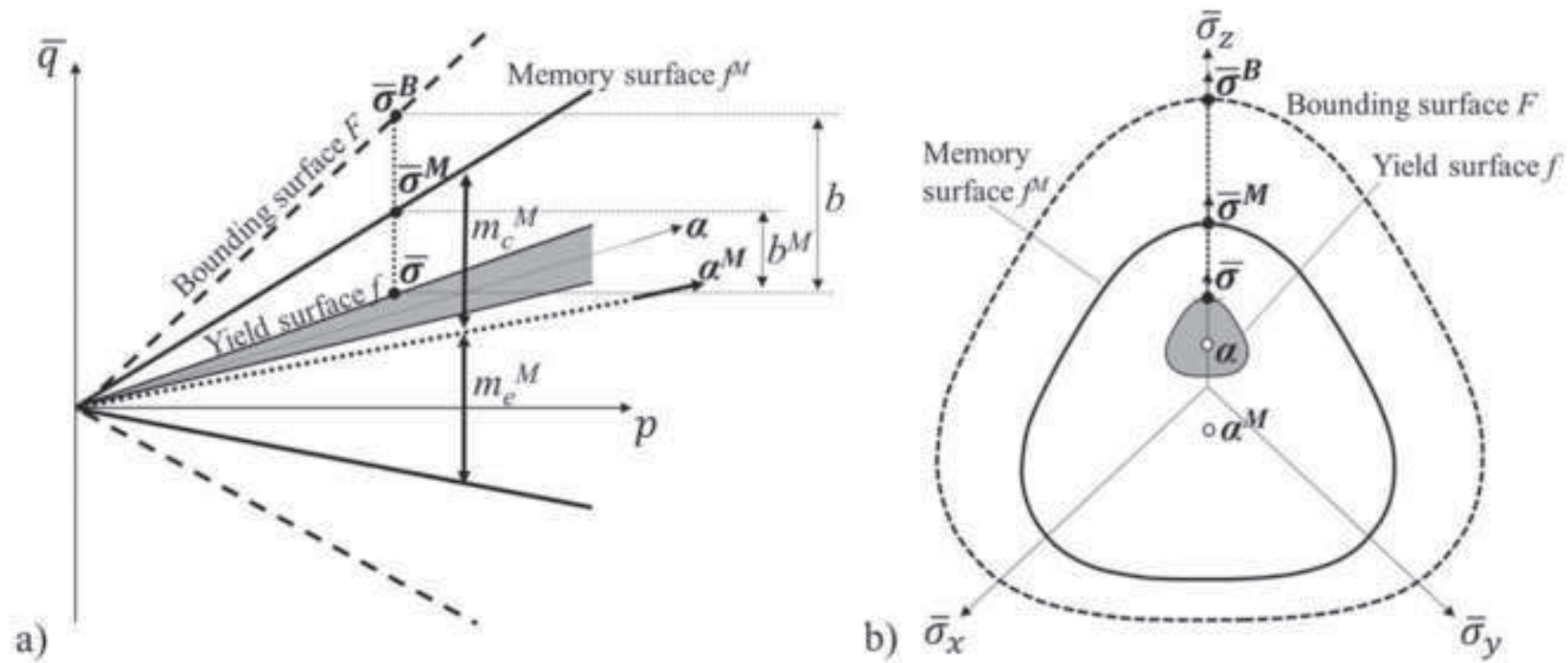


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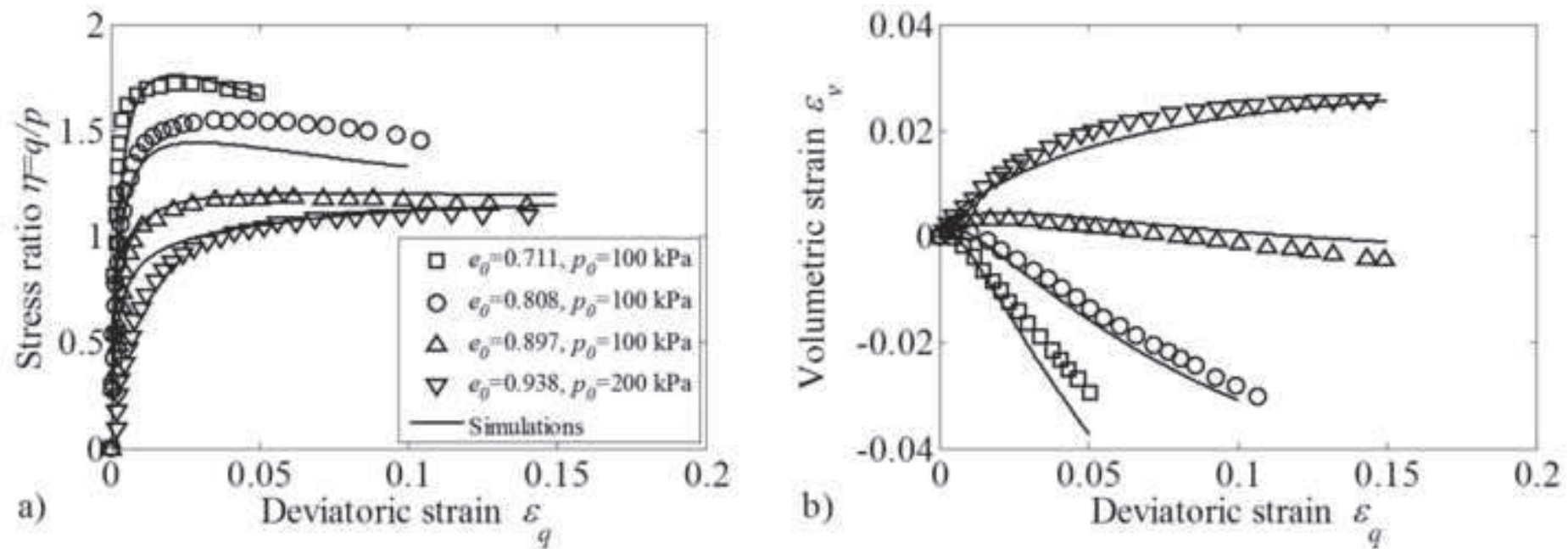


Fig. 4. Calibration of the memory surface model on available drained monotonic triaxial tests (Escribano, 2014) a) stress ratio against deviatoric strain b) volumetric response.

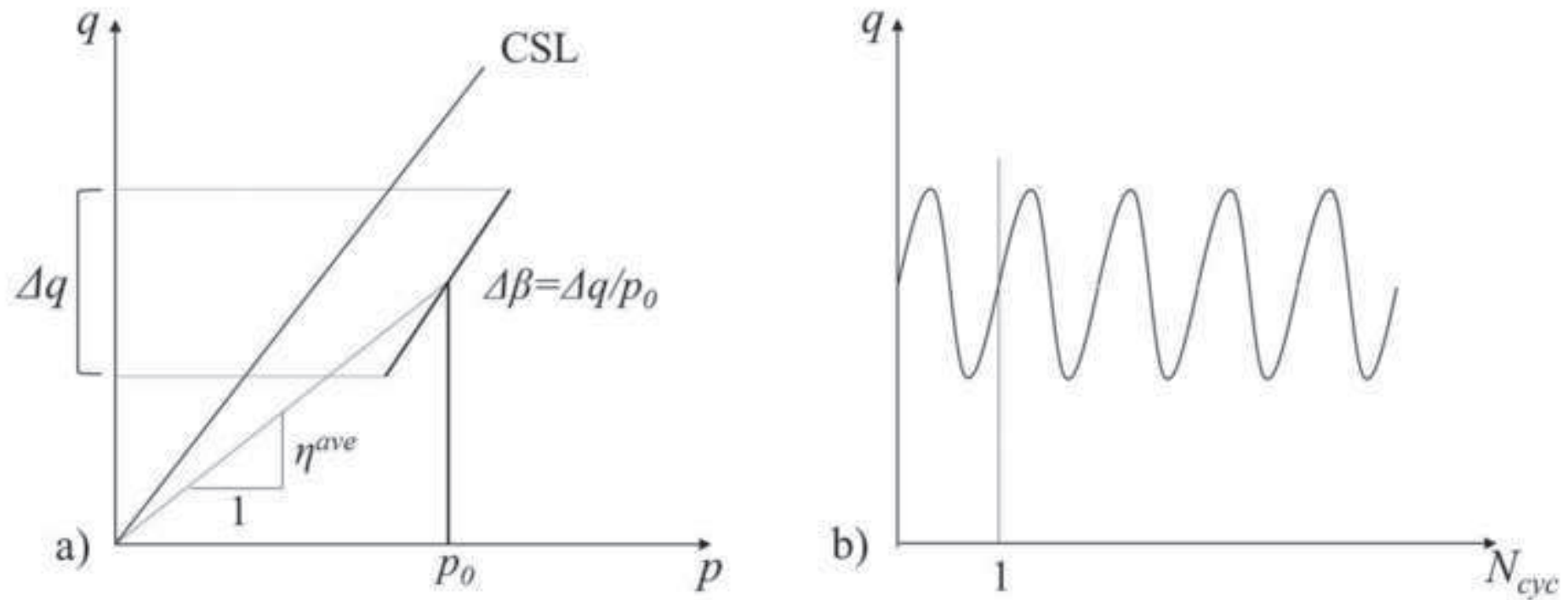


Fig. 5. Schematic illustration of a) stress path during experimental cyclic tests b) deviatoric stress variation with number of cycles.

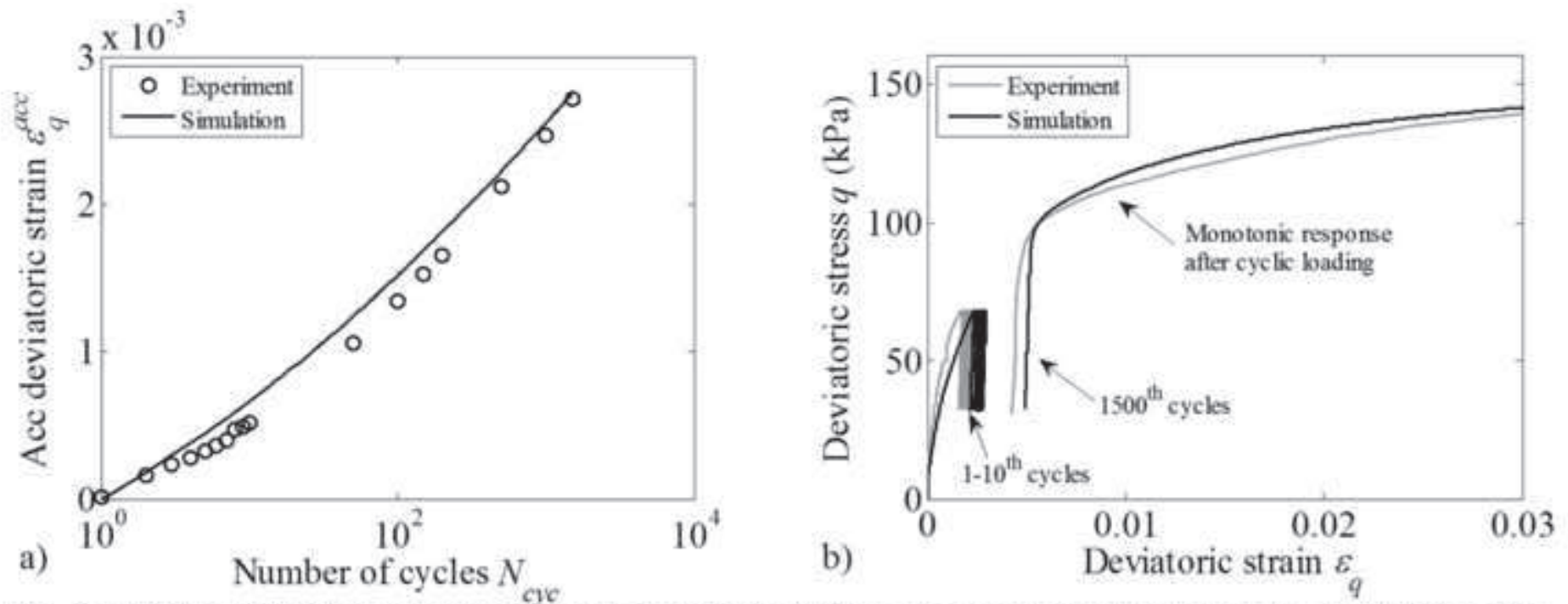


Fig. 6. Model calibration a) accumulated deviatoric strains with number of cycles ($N_{cyc}=1500$) b) stress-strain response with monotonic shearing after 1500 cycles ($e_\theta=0.937, p_\theta=100$ kPa, $\eta^{ave}=0.50$ and $\Delta\beta=0.36$).

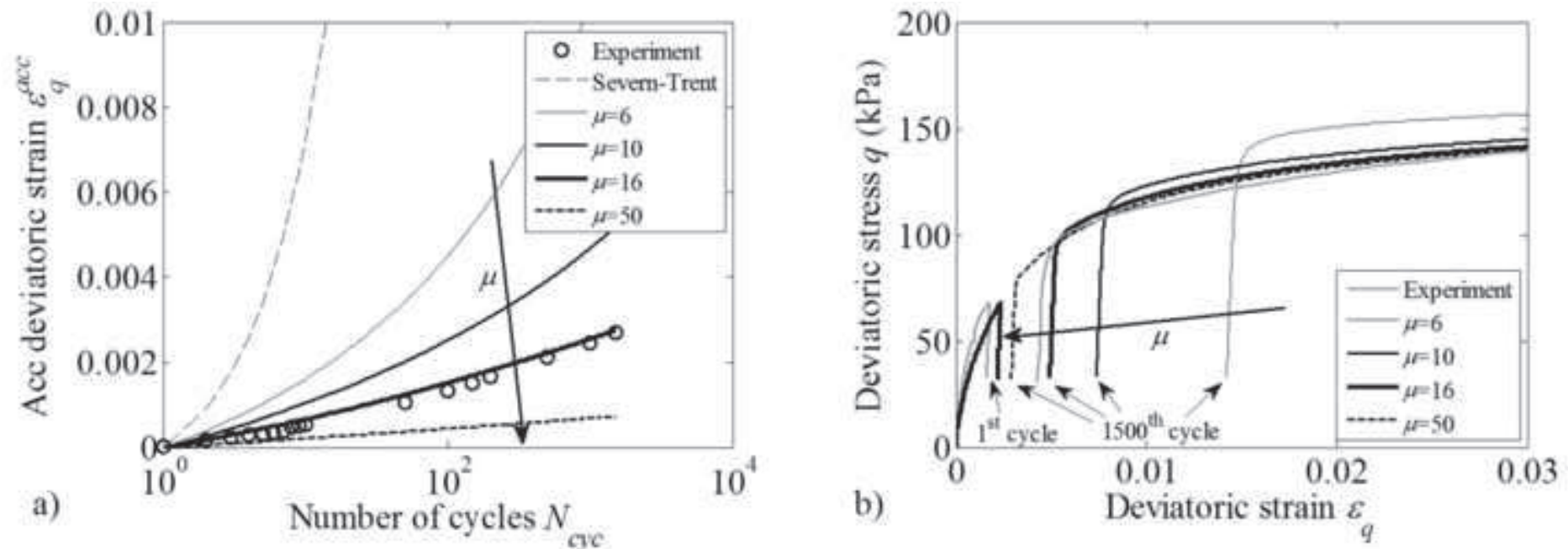


Fig. 7: Effect of variation of the constitutive parameter μ a) accumulated deviatoric strains with the number of cycles b) stress strain response.

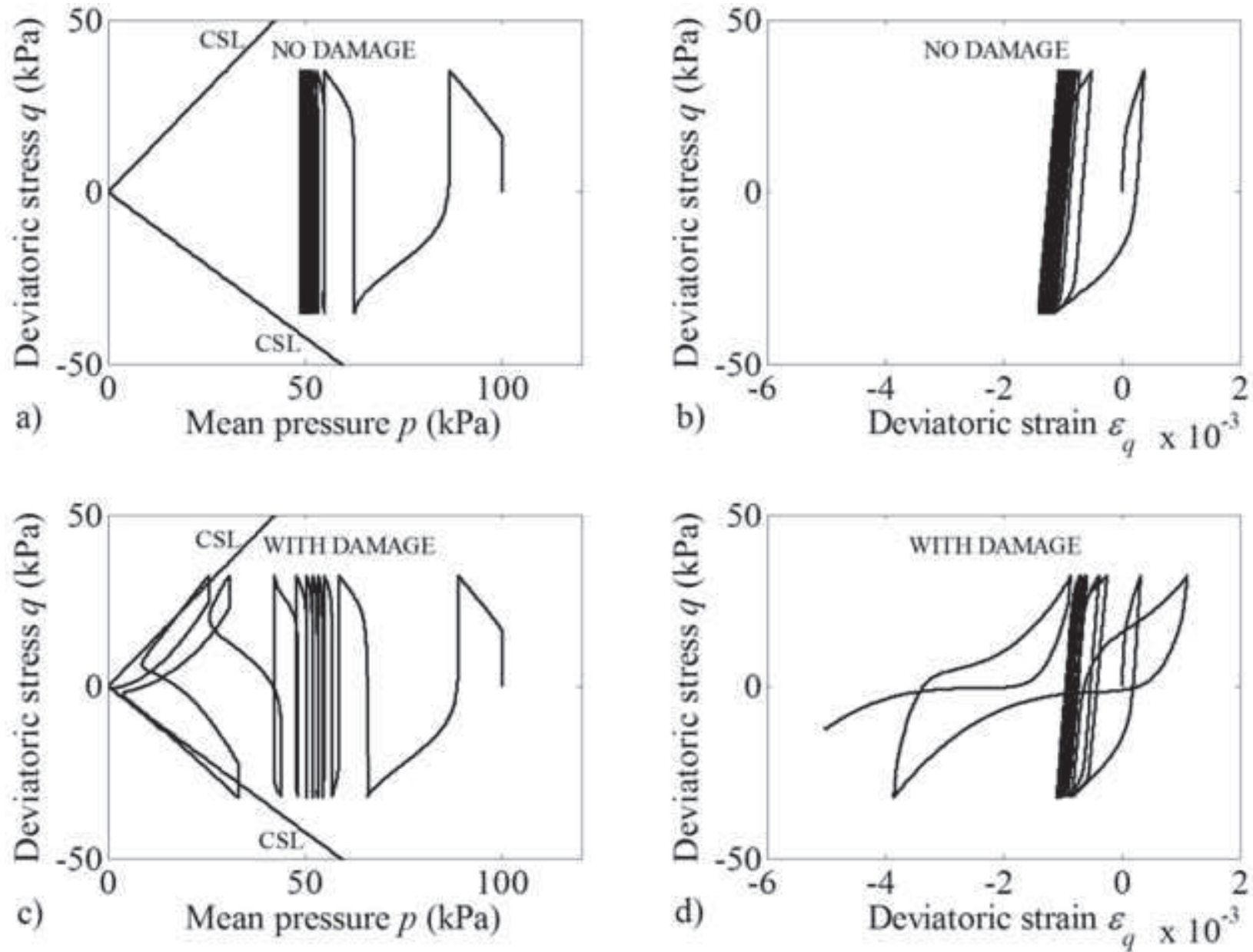


Fig. 8. Memory surface model simulations (a, b) without the contraction mechanism implemented a) q - p b) q - ε_q and (c, d) including the contraction mechanism c) q - p d) q - ε_q ($e_0=0.700$, $p_0=100$ kPa, $\eta^{ave}=0$ and $\Delta\beta=0.64$).

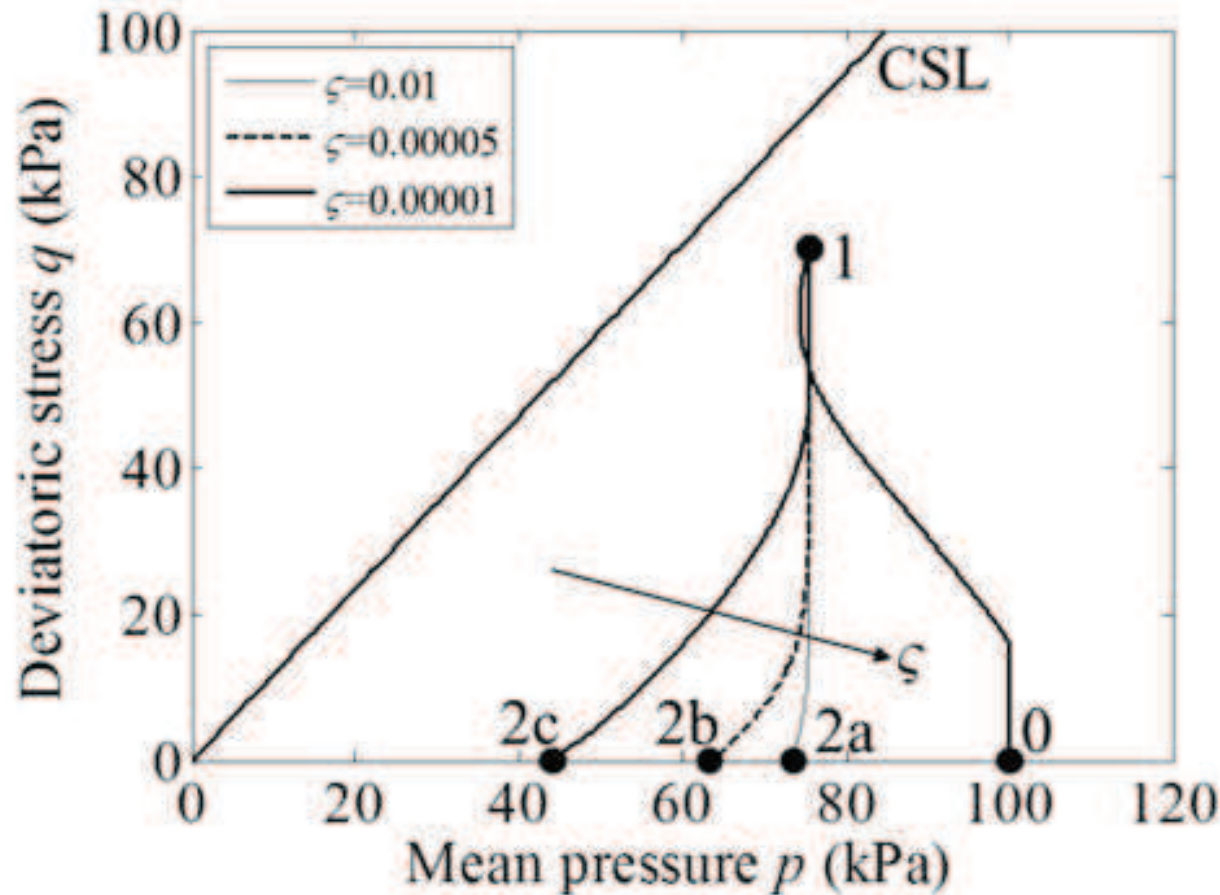


Fig. 9. Parametric analysis of the damage constitutive parameter ζ . 0 – initial state; 1 – stress reversal point; 2a 2b 2c end of the simulation ($e_0=0.7$ and $p_0=100$ kPa).

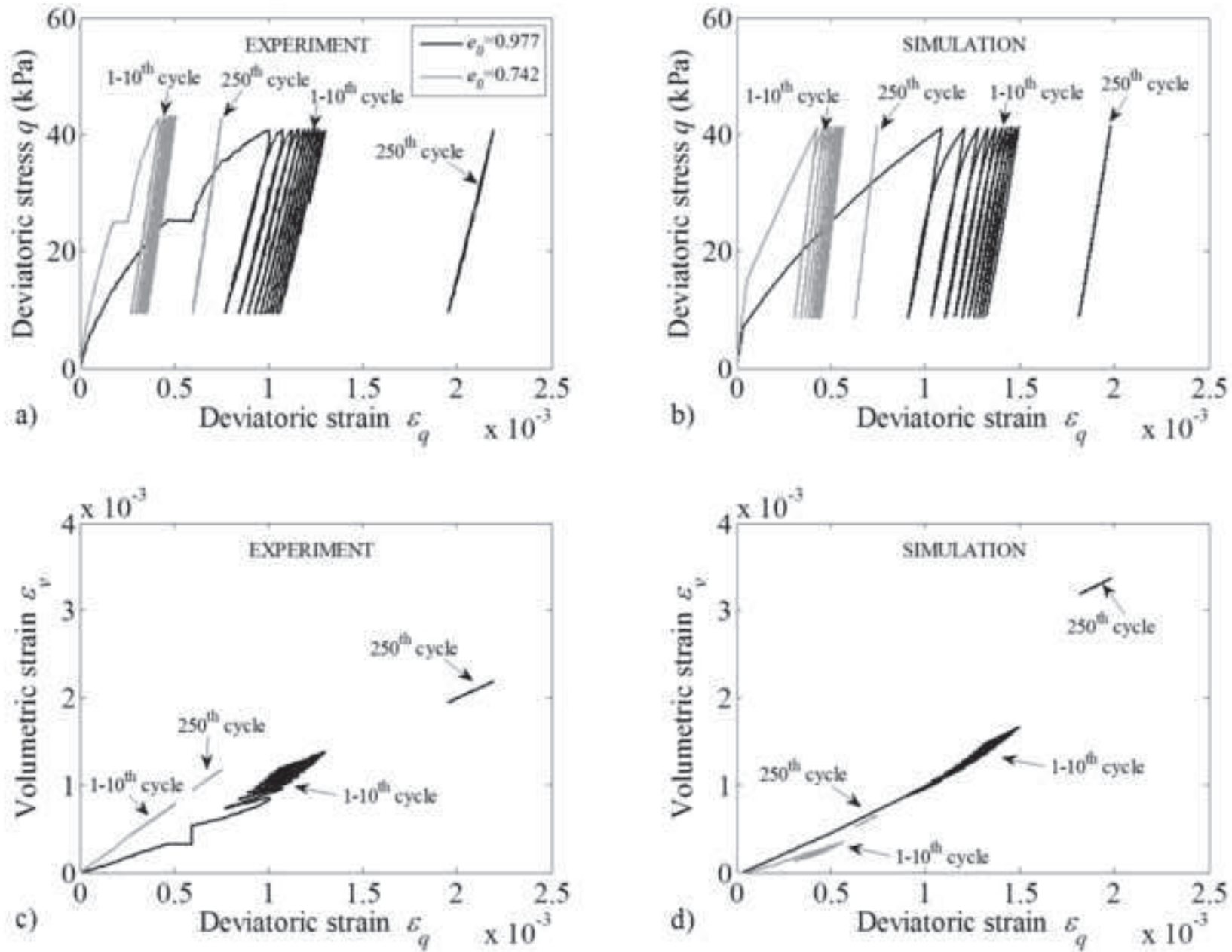


Fig. 10. Effect of soil density during drained cyclic loading a) experimental stress-strain curve b) stress-strain curve from simulations c) experimental volumetric response b) volumetric response from simulation ($p_\sigma=100$ kPa, $\eta^{ave}=0.25$, $\Delta\beta=0.31-0.33$).

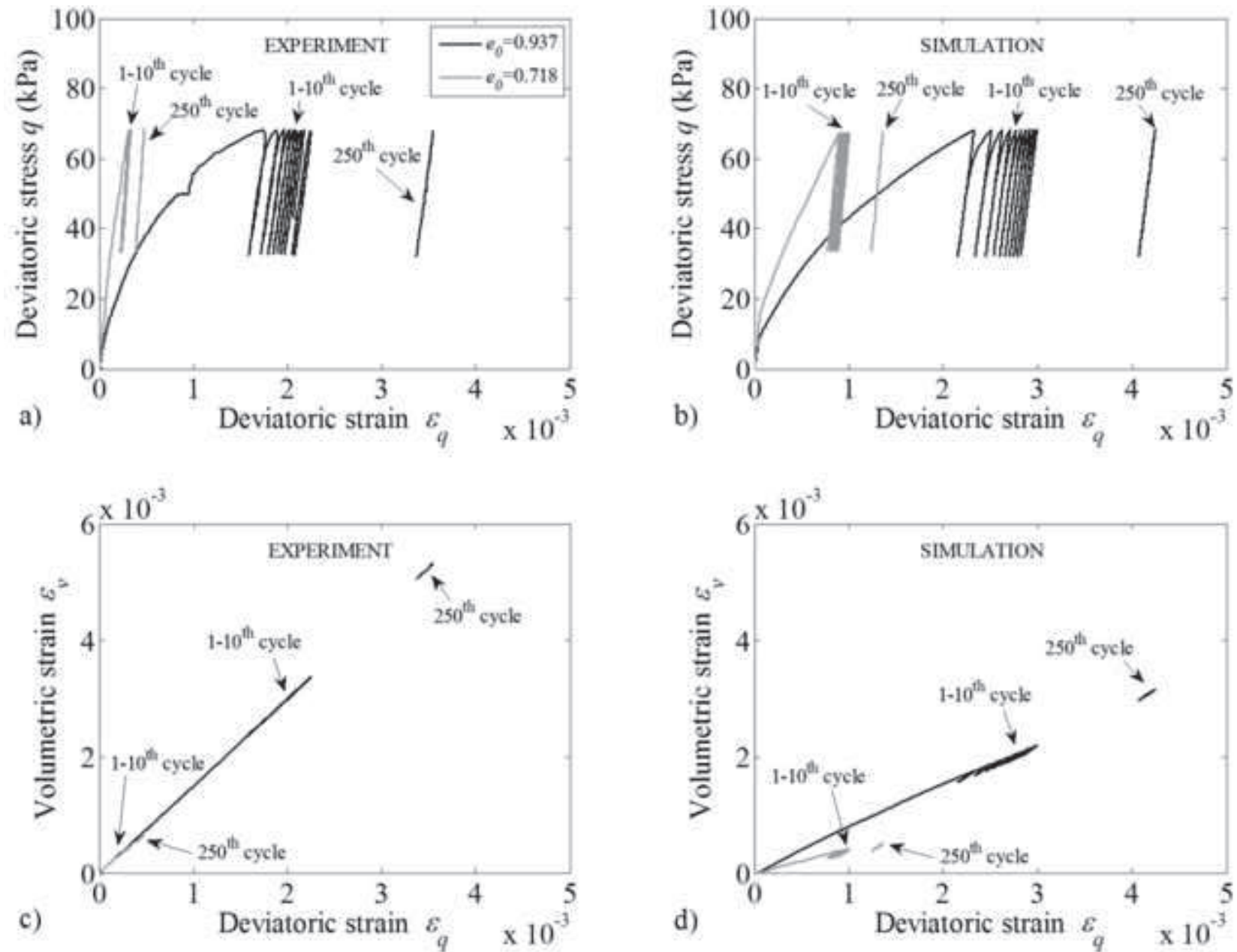


Fig. 11. Effect of soil density during drained cyclic loading a) experimental stress-strain curve b) stress-strain curve from simulations c) experimental volumetric response d) volumetric response from simulations ($p_\theta = 100$ kPa, $\eta^{ave} = 0.50$, $\Delta\beta = 0.34-0.36$).

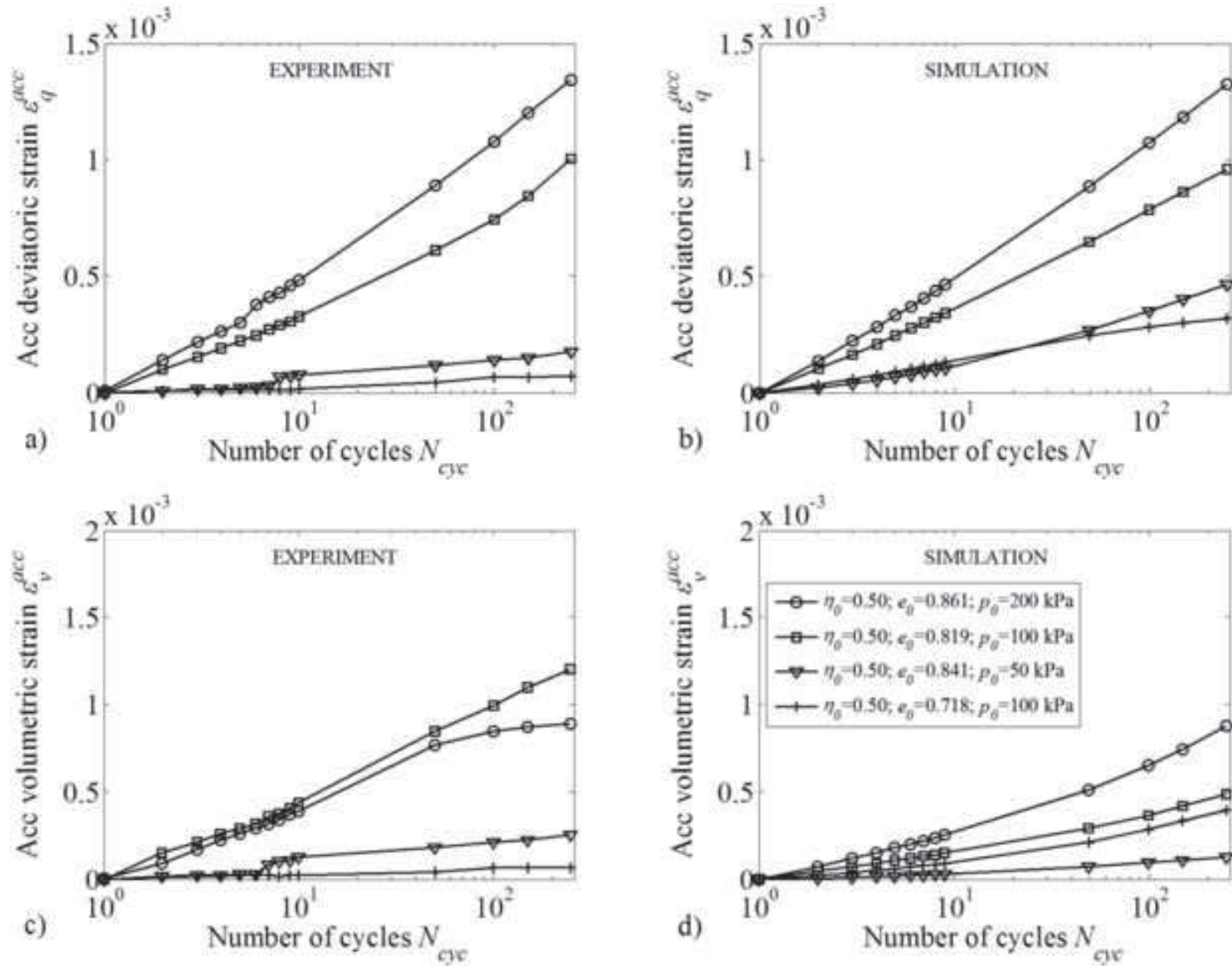


Fig. 12. Progressive strain accumulation with the number of cycles for dense samples a) experimental deviatoric strain accumulation b) simulation of deviatoric strain accumulations c) experimental volumetric strain accumulation d) simulation of volumetric strain accumulations ($\Delta\beta=0.33-0.47$).

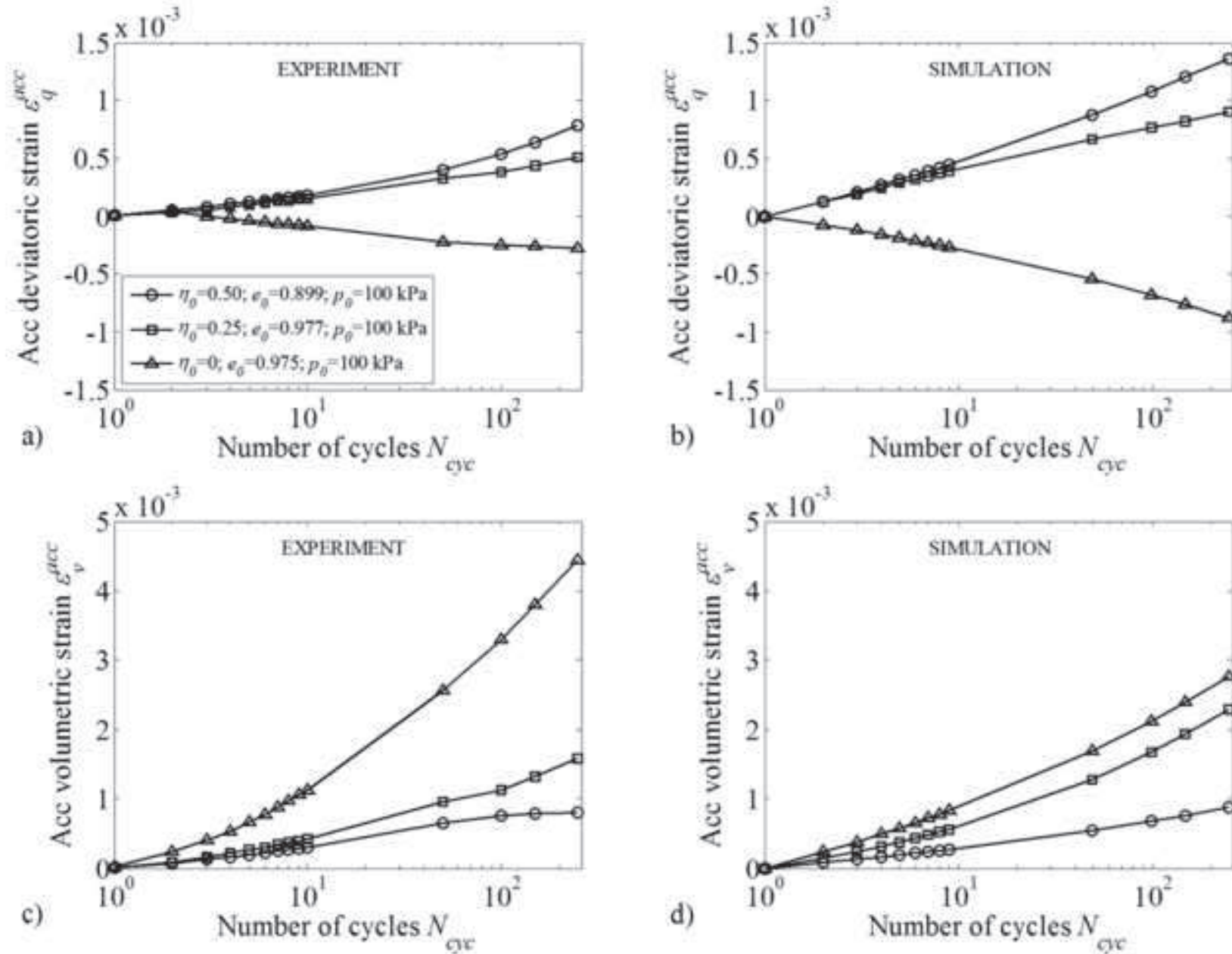


Fig. 13. Progressive strain accumulation with the number of cycles for loose samples a) experimental deviatoric strain accumulation b) simulation of deviatoric strain accumulations c) experimental volumetric strain accumulation d) simulation of volumetric strain accumulations ($\Delta\beta=0.31-0.35$).

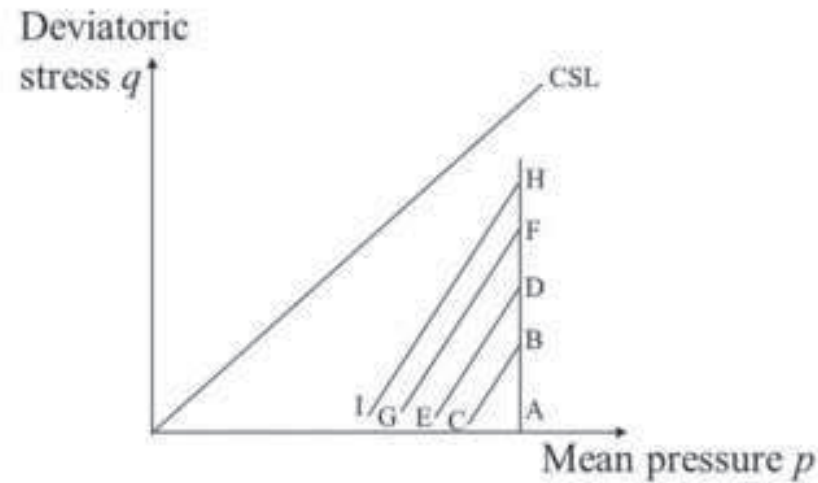


Fig. 14: Schematic representation of the stress path adopted in the test performed at different cyclic amplitudes. Testing conditions: $e_0=0.824$ and $p_0=100$ kPa with $\eta^B=0.2$, $\eta^D=0.5$, $\eta^F=0.7$ and $\eta^H=0.9$. Monotonic loading applied in the stages AB, BD, DF and FH and cyclic loading applied in the stages BC, DE, FG and FI imposing $\Delta\beta^{BC}=0.18$ for $N_{\text{cyc}}=50$, $\Delta\beta^{DE}=0.48$ for $N_{\text{cyc}}=5$, $\Delta\beta^{FG}=0.62$ for $N_{\text{cyc}}=10$ and $\Delta\beta^{HI}=0.84$ for $N_{\text{cyc}}=10$.

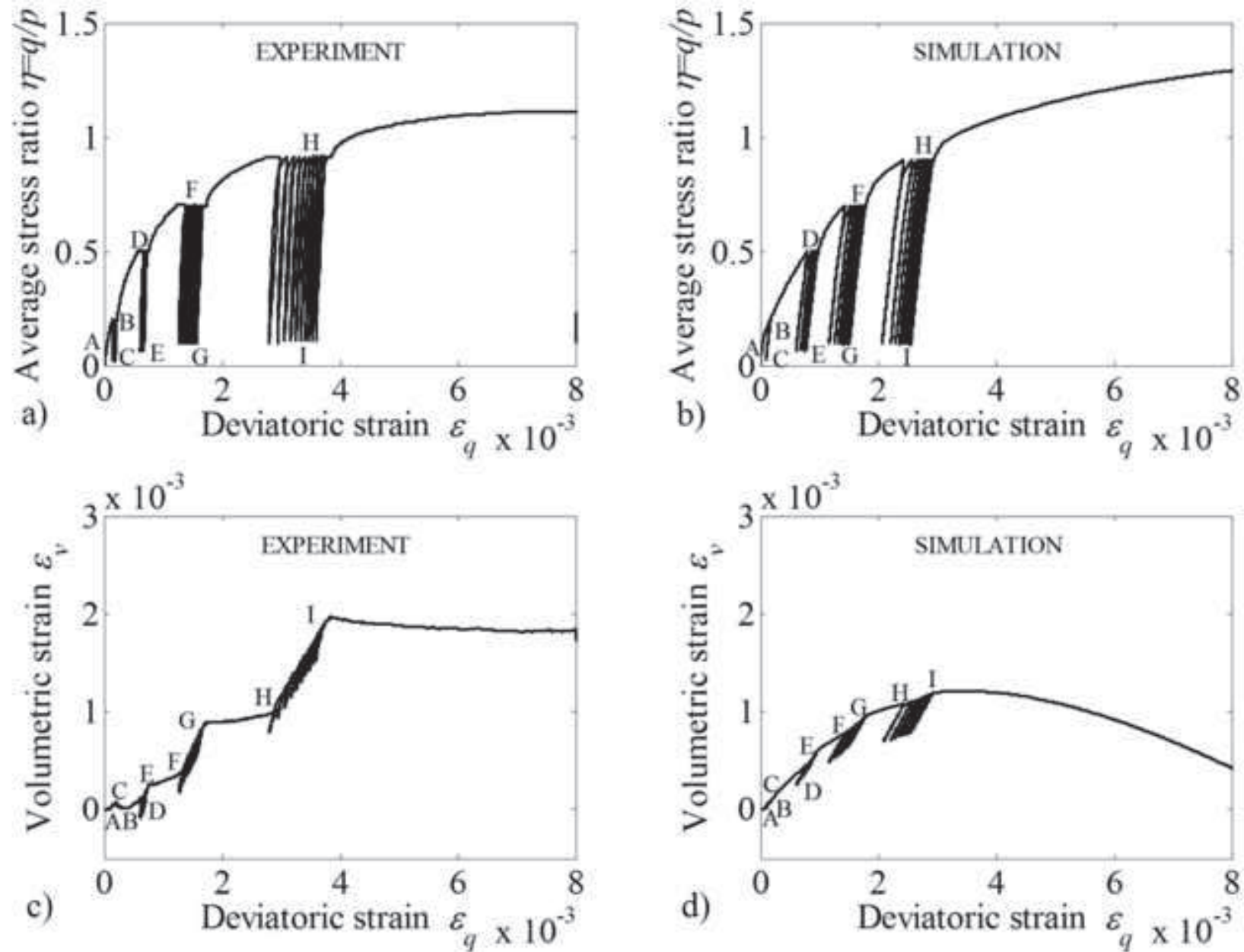


Fig. 15: Soil response while subjected to varying cyclic amplitude at different average stress ratios a) experimental stress strain response b) stress strain response from simulation c) experimental volumetric response and related d) simulation.